

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Vaidyanathan et al.
SERIAL NO.: To Be Assigned GROUP NO.: To Be Assigned
(Parent case: 10/060,484) (Parent case: 3654)
FILING DATE: Herewith EXAMINER: To Be Assigned
(Parent case: 30-Jan-02) (Parent case: M.R. Mansen)
TITLE: *Methods and Apparatus for Prescribing Web Tracking in Processing Equipment*

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir/Madam:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Forms PTO-1449 and PTO-892 (that are copied from the parent case), and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the publications are not enclosed, but were submitted in (and may be obtained from) the parent case.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

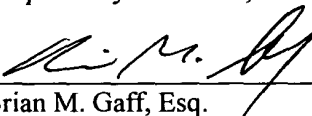
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Brian M. Gaff, Esq.

Attorney for Applicants
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: September 9, 2003
Reg. No. 44,691

Tel. No.: (617) 310-8085
Fax No.: (617) 790-0332
Email: gaff@tht.com

| | | | |
|---|---------------------------------------|---|-------------|
| No. <u>EL 946870949US</u> Notice of References Cited | Application/Control No. 10/060,484 | Applicant(s)/Patent Under Reexamination VAIDYANATHAN ET AL. | |
| | Examiner Michael R Mansen | Art Unit 3654 | Page 1 of 1 |

U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|--|-----------------|--------------------|----------------|
| | A | US-3,075,679 | 01-1963 | Wadey | 226/95 |
| | B | US-4,065,044 | 12-1977 | Painter et al. | 226/95 |
| | C | US-4,761,256 | 08-1988 | Hardenbrook et al. | 264/45.5 |
| | D | US-4,943,446 | 07-1990 | Isherwood et al. | 427/9 |
| | E | US-5,232,141 | 08-1993 | Mittmeyer et al. | 226/95 |
| | F | US-5,667,418 | 09-1997 | Fahlen et al. | 445/25 |
| | G | US-5,950,899 | 09-1999 | Bassa, Altan | 226/97.3 |
| | H | US-6,443,389 | 09-2002 | Palone, Thomas W. | 226/97.3 |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|--|-----------------|---------|------|----------------|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | |
| | V | |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

EXPRESS MAIL MAILING LABEL

No. EL 946870949US

COPY

SHEET 1 OF 1

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: PTK-224

APPLICANTS: Vaidyanathan et al.

SERIAL NO.: To Be Assigned

FILING DATE: Herewith

GROUP: To Be Assigned

U.S. PATENT DOCUMENTS

| EXAM. INIT. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|--------------------|------|------|-------|--------------|-------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAM. INIT. | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
|----------------|--------------------|------|-----------------|-------|--------------|----------------|------------------|-----------------------|
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

OTHER ART, JOURNAL ARTICLES, ETC.

| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | |
|-----------------|--|---|
| | C1 | Jones, D.P., "Traction in Web Handling: A Review " Proceedings of the 6 th International Conference on Web Handling, 10~13-June-01, Emral Ltd. UK, 23 pgs. |
| | C2 | Schwarz, W., "Thermo-Mechanical Behaviour of the Web during Coating," LEYBOLD AG, Hanau, 13 pgs. |
| | C3 | Wales et al., "Model For Thermal Creasing In Roll-To-Roll Vacuum Metallising," Proceedings of the 2 nd International Conference on Web Coating, Bakish Materials Corp., B.V.T. Ltd., 9~11-Sep-88, pp. 204-215. |
| | | |
| EXAMINER | | |
| DATE CONSIDERED | | |

VER 9/00

GAFFBM465\166.2269538_1